



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : H04B 1/66, G10L 19/14	A1	(11) International Publication Number: WO 98/42083 (43) International Publication Date: 24 September 1998 (24.09.98)
<p>(21) International Application Number: PCT/FI98/00146</p> <p>(22) International Filing Date: 18 February 1998 (18.02.98)</p> <p>(30) Priority Data: 971108 14 March 1997 (14.03.97) FI</p> <p>(71) Applicant (<i>for all designated States except US</i>): NOKIA MOBILE PHONES LTD. [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).</p> <p>(72) Inventor; and</p> <p>(75) Inventor/Applicant (<i>for US only</i>): YIN, Lin [CN/US]; 440 Dixon Landing Road No. A105, Milpitas, CA 95035 (US).</p> <p>(74) Agent: JOHANSSON, Folke; Nokia Mobile Phones Ltd., P.O. Box 100, FIN-00045 Nokia Group (FI).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>
(54) Title: AUDIO CODING METHOD AND APPARATUS		
<p>(57) Abstract</p> <p>A method of coding an audio signal comprises receiving an audio signal x to be coded and transforming the received signal from the time to the frequency domain. A quantised audio signal \hat{x} is generated from the transformed audio signal x together with a set of long-term prediction coefficients A which can be used to predict a current time frame of the received audio signal directly from one or more previous time frames of the quantised audio signal \hat{x}. A predicted audio signal \tilde{x} is generated using the prediction coefficients A. The predicted audio signal \tilde{x} is then transformed from the time to the frequency domain and the resulting frequency domain signal compared with that of the received audio signal x to generate an error signal $E(k)$ for each of a plurality of frequency sub-bands. The error signals $E(k)$ are then quantised to generate a set of quantised error signals $\tilde{E}(k)$ which are combined with the prediction coefficients A to generate a coded audio signal.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

Audio coding method and apparatus

The present invention relates to a method and apparatus for audio coding and to a
5 method and apparatus for audio decoding.

It is well known that the transmission of data in digital form provides for increased signal to noise ratios and increased information capacity along the transmission channel. There is however a continuing desire to further increase channel
10 capacity by compressing digital signals to an ever greater extent. In relation to audio signals, two basic compression principles are conventionally applied. The first of these involves removing the statistical or deterministic redundancies in the source signal whilst the second involves suppressing or eliminating from the source signal elements which are redundant insofar as human perception is concerned. Recently, the latter principle has become predominant in high quality
15 audio applications and typically involves the separation of an audio signal into its frequency components (sometimes called "sub-bands"), each of which is analysed and quantised with a quantisation accuracy determined to remove data irrelevancy (to the listener). The ISO (International Standards Organisation) MPEG (Moving
20 Pictures Expert Group) audio coding standard and other audio coding standards employ and further define this principle. However, MPEG (and other standards) also employs a technique known as "adaptive prediction" to produce a further reduction in data rate.

25 The operation of an encoder according to the new MPEG-2 AAC standard is described in detail in the draft International standard document ISO/IEC DIS 13818-7. This new MPEG-2 standard employs backward linear prediction with 672 of 1024 frequency components. It is envisaged that the new MPEG-4 standard will have similar requirements. However, such a large number of
30 frequency components results in a large computational overhead due to the complexity of the prediction algorithm and also requires the availability of large amounts of memory to store the calculated and intermediate coefficients. It is well

known that when backward adaptive predictors of this type are used in the frequency domain, it is difficult to further reduce the computational loads and memory requirements. This is because the number of predictors is so large in the frequency domain that even a very simple adaptive algorithm still results in large

5 computational complexity and memory requirements. Whilst it is known to avoid this problem by using forward adaptive predictors which are updated in the encoder and transmitted to the decoder, the use of forward adaptive predictors in the frequency domain inevitably results in a large amount of "side" information because the number of predictors is so large.

10 It is an object to the present invention to overcome or at least mitigate the disadvantages of known prediction methods.

This and other objects are achieved by coding an audio signal using error signals

15 to remove redundancy in each of a plurality of frequency sub-bands of the audio signal and in addition generating long term prediction coefficients in the time domain which enable a current frame of the audio signal to be predicted from one or more previous frames.

20 According to a first aspect of the present invention there is provided a method of coding an audio signal, the method comprising the steps of:

receiving an audio signal x to be coded;

generating a quantised audio signal \tilde{x} from the received audio signal x ;

generating a set of long-term prediction coefficients A which can be used

25 to predict a current time frame of the received audio signal x directly from at least one previous time frame of the quantised audio signal \tilde{x} ;

using the prediction coefficients A to generate a predicted audio signal \hat{x} ;

comparing the received audio signal x with the predicted audio signal \hat{x}

30 and generating an error signal $E(k)$ for each of a plurality of frequency sub-bands;

quantising the error signals $E(k)$ to generate a set of quantised error signals $\tilde{E}(k)$; and

combining the quantised error signal $\tilde{E}(k)$ and the prediction coefficients A to generate a coded audio signal.

The present invention provides for compression of an audio signal using a forward adaptive predictor in the time domain. For each time frame of a received signal, it is only necessary to generate and transmit a single set of forward adaptive prediction coefficients for transmission to the decoder. This is in contrast to known forward adaptive prediction techniques which require the generation of a set of prediction coefficients for each frequency sub-band of each time frame. In comparison to the prediction gains obtained by the present invention, the side information of the long term predictor is negligible.

Certain embodiments of the present invention enable a reduction in computational complexity and in memory requirements. In particular, in comparison to the use of backward adaptive prediction, there is no requirement to recalculate the prediction coefficients in the decoder. Certain embodiments of the invention are also able to respond more quickly to signal changes than conventional backward adaptive predictors.

In one embodiment of the invention, the received audio signal x is transformed in frames x_n from the time domain to the frequency domain to provide a set of frequency sub-band signals $X(k)$. The predicted audio signal \hat{x} is similarly transformed from the time domain to the frequency domain to generate a set of predicted frequency sub-band signals $\hat{X}(k)$ and the comparison between the received audio signal x and the predicted audio signal \hat{x} is carried out in the frequency domain, comparing respective sub-band signals against each other to generate the frequency sub-band error signals $E(k)$. The quantised audio signal \tilde{x} is generated by summing the predicted signal and the quantised error signal, either in the time domain or in the frequency domain.

In an alternative embodiment of the invention, the comparison between the received audio signal x and the predicted audio signal \bar{x} is carried out in the time domain to generate an error signal e also in the time domain. This error signal e is then converted from the time to the frequency domain to generate said plurality 5 of frequency sub-band error signals $E(k)$.

Preferably, the quantisation of the error signals is carried out according to a psycho-acoustic model.

10 According to a second aspect of the present invention there is provided a method of decoding a coded audio signal, the method comprising the steps of:
receiving a coded audio signal comprising a quantised error signal $\tilde{E}(k)$ for each of a plurality of frequency sub-bands of the audio signal and, for each time frame of the audio signal, a set of prediction coefficients A which can be used to
15 predict a current time frame x_m of the received audio signal directly from at least one previous time frame of a reconstructed quantised audio signal \tilde{x} ;
generating said reconstructed quantised audio signal \tilde{x} from the quantised error signals $\tilde{E}(k)$;
using the prediction coefficients A and the quantised audio signal \tilde{x} to
20 generate a predicted audio signal \hat{x} ;
transforming the predicted audio signal \hat{x} from the time domain to the frequency domain to generate a set of predicted frequency sub-band signals $\hat{X}(k)$ for combining with the quantised error signals $\tilde{E}(k)$ to generate a set of reconstructed frequency sub-band signals $\tilde{X}(k)$; and
25 performing a frequency to time domain transform on the reconstructed frequency sub-band signals $\tilde{X}(k)$ to generate the reconstructed quantised audio signal \tilde{x} .

Embodiments of the above second aspect of the invention are particularly
30 applicable where only a sub-set of all possible quantised error signals $\tilde{E}(k)$ are

received, some sub-band data being transmitted directly by the transmission of audio sub-band signals $X(k)$. The signals $\tilde{X}(k)$ and $X(k)$ are combined appropriately prior to carrying out the frequency to time transform.

- 5 According to a third aspect of the present invention there is provided apparatus for coding an audio signal, the apparatus comprising:
 - an input for receiving an audio signal x to be coded;
 - quantisation means coupled to said input for generating from the received audio signal x a quantised audio signal \tilde{x} ;
- 10 prediction means coupled to said quantisation means for generating a set of long-term prediction coefficients A for predicting a current time frame x_m of the received audio signal x directly from at least one previous time frame of the quantised audio signal \tilde{x} ;
- 15 generating means for generating a predicted audio signal \hat{x} using the prediction coefficients A and for comparing the received audio signal x with the predicted audio signal \hat{x} to generate an error signal $E(k)$ for each of a plurality of frequency sub-bands;
- 20 quantisation means for quantising the error signals $E(k)$ to generate a set of quantised error signals $\tilde{E}(k)$; and

- 20 combining means for combining the quantised error signals $\tilde{E}(k)$ with the prediction coefficients A to generate a coded audio signal.

In one embodiment, said generating means comprises first transform means for transforming the received audio signal x from the time to the frequency domain and second transform means for transforming the predicted audio signal \hat{x} from the time to the frequency domain, and comparison means arranged to compare the resulting frequency domain signals in the frequency domain.

In an alternative embodiment of the invention, the generating means is arranged to compare the received audio signal x and the predicted audio signal \hat{x} in the time domain.

According to a fourth aspect of the present invention there is provided apparatus for decoding a coded audio signal x , where the coded audio signal comprises a quantised error signal $\tilde{E}(k)$ for each of a plurality of frequency sub-bands of the

5 audio signal and a set of prediction coefficients A for each time frame of the audio signal and wherein the prediction coefficients A can be used to predict a current time frame x_m of the received audio signal directly from at least one previous time frame of a reconstructed quantised audio signal \tilde{x} , the apparatus comprising:

10 an input for receiving the coded audio signal;
generating means for generating said reconstructed quantised audio signal \tilde{x} from the quantised error signals $\tilde{E}(k)$; and
signal processing means for generating a predicted audio signal \hat{x} from the prediction coefficients A and said reconstructed audio signal \tilde{x} ,

15 wherein said generating means comprises first transforming means for transforming the predicted audio signal \hat{x} from the time domain to the frequency domain to generate a set of predicted frequency sub-band signals $\hat{X}(k)$, combining means for combining said set of predicted frequency sub-band signals $\hat{X}(k)$ with the quantised error signals $\tilde{E}(k)$ to generate a set of reconstructed frequency sub-band signals $\tilde{X}(k)$, and second transforming means for performing a frequency to time domain transform on the reconstructed frequency sub-band signals $\tilde{X}(k)$ to generate the reconstructed quantised audio signal \tilde{x} .

For a better understanding of the present invention and in order to show how the

25 same may be carried into effect reference will now be made, by way of example, to the accompanying drawings, in which:
Figure 1 shows schematically an encoder for coding a received audio signal;
Figure 2 shows schematically a decoder for decoding an audio signal coded with the encoder of Figure 1;

Figure 3 shows the encoder of Figure 1 in more detail including a predictor tool of the encoder;

Figure 4 shows the decoder of Figure 2 in more detail including a predictor tool of the decoder; and

5 Figure 5 shows in detail a modification to the encoder of Figure 1 and which employs an alternative prediction tool.

There is shown in Figure 1 a block diagram of an encoder which performs the coding function defined in general terms in the MPEG-2 AAC standard. The input
 10 to the encoder is a sampled monophasic signal x whose sample points are grouped into time frames or blocks of $2N$ points, i.e.

$$\mathbf{x}_m = (x_m(0), x_m(1), \dots, x_m(2N-1))^T \quad (1)$$

where m is the block index and T denotes transposition. The grouping of sample points is carried out by a filter bank tool 1 which also performs a modified discrete
 15 cosine transform (MDCT) on each individual frame of the audio signal to generate a set of frequency sub-band coefficients

$$\mathbf{X}_m = (X_m(0), X_m(1), \dots, X_m(N-1))^T \quad (2)$$

The sub-bands are defined in the MPEG standard.

The forward MDCT is defined by

$$20 \quad X_m(k) = \sum_{i=0}^{2N-1} f(i)x_m(i)\cos\left(\frac{\pi}{4N}(2i+1+N)(2k+1)\right), \quad (3)$$

$$k = 0, \dots, N-1$$

where $f(i)$ is the analysis-synthesis window, which is a symmetric window such that its added-overlapped effect is producing a unity gain in the signal.

The frequency sub-band signals $X(k)$ are in turn applied to a prediction tool 2
 25 (described in more detail below) which seeks to eliminate long term redundancy in each of the sub-band signals. The result is a set of frequency sub-band error signals

$$E_m(k) = (E_m(0), E_m(1), \dots, E_m(N-1))^T \quad (4)$$

which are indicative of long term changes in respective sub-bands, and a set of forward adaptive prediction coefficients A for each frame.

The sub-band error signals $E(k)$ are applied to a quantiser 3 which quantises

5 each signal with a number of bits determined by a psychoacoustic model. This model is applied by a controller 4. As discussed, the psychoacoustic model is used to model the masking behaviour of the human auditory system. The quantised error signals $\tilde{E}(k)$ and the prediction coefficients A are then combined in a bit stream multiplexer 5 for transmission via a transmission channel 6.

10 Figure 2 shows the general arrangement of a decoder for decoding an audio signal coded with the encoder of Figure 1. A bit-stream demultiplexer 7 first separates the prediction coefficients A from the quantised error signals $\tilde{E}(k)$ and separates the error signals into the separate sub-band signals. The prediction coefficients A and the quantised error sub-band signals $\tilde{E}(k)$ are provided to a prediction tool 8 which reverses the prediction process carried out in the encoder, i.e. the prediction tool reinserts the redundancy extracted in the encoder, to generate reconstructed quantised sub-band signals $\tilde{X}(k)$. A filter bank tool 9 then recovers the time domain signal \tilde{x} , by an inverse transformation on the

15 20 received version $\tilde{X}(k)$, described by

$$\tilde{x}_m(i) = \tilde{u}_{m-1}(i+N) + \tilde{u}_m(i), \quad (5)$$

$$i = 0, \dots, N-1$$

where $\tilde{u}_k(i), i = 0, \dots, 2N-1$ are the inverse transform of \tilde{X}

$$\tilde{u}_m(i) = f(i) \sum_{k=0}^{N-1} \tilde{X}_m(k) \cos\left(\frac{\pi}{4N}(2i+1+N)(2k+1)\right),$$

$$i = 0, \dots, 2N-1$$

and which approximates the original audio signal x .

25 Figure 3 illustrates in more detail the prediction method of the encoder of Figure 1. Using the quantised frequency sub-band error signals $E(k)$, a set of quantised

frequency sub-band signals $\tilde{X}(k)$ are generated by a signal processing unit 10. The signals $\tilde{X}(k)$ are applied in turn to a filter bank 11 which applies an inverse modified discrete cosine transform (IMDCT) to the signals to generate a quantised time domain signal \tilde{x} . The signal \tilde{x} is then applied to a long term predictor tool 12 which also receives the audio input signal x . The predictor tool 12 uses a long term (LT) predictor to remove the redundancy in the audio signal present in a current frame $m+1$, based upon the previously quantised data. The transfer function P of this predictor is:

$$P(z) = \sum_{k=-m_1}^{m_2} b_k z^{-(\alpha+k)} \quad (5)$$

where α represents a long delay in the range 1 to 1024 samples and b_k are prediction coefficients. For $m_1 = m_2 = 0$ the predictor is one tap whilst for $m_1 = m_2 = 1$ the predictor is three tap.

The parameters α and b_k are determined by minimising the mean squared error after LT prediction over a period of $2N$ samples. For a one tap predictor, the LT prediction residual $r(i)$ is given by:

$$r(i) = x(i) - b\tilde{x}(i-2N+1-\alpha) \quad (6)$$

where x is the time domain audio signal and \tilde{x} is the time domain quantised signal. The mean squared residual R is given by:

$$R = \sum_{i=0}^{2N-1} r^2(i) = \sum_{i=0}^{2N-1} (x(i) - b\tilde{x}(i-2N+1-\alpha))^2 \quad (7)$$

Setting $\partial R / \partial b = 0$ yields

$$b = \frac{\sum_{i=0}^{2N-1} x(i)\tilde{x}(i-2N+1-\alpha)}{\sum_{i=0}^{2N-1} (\tilde{x}(i-2N-\alpha))^2} \quad (8)$$

and substituting for b into equation (7) gives

$$R = \sum_{i=0}^{2N-1} x^2(i) - \frac{\left(\sum_{i=0}^{2N-1} x(i)\tilde{x}(i-2N+1-\alpha) \right)^2}{\sum_{i=0}^{2N-1} (\tilde{x}(i-2N+\alpha))^2} \quad (9)$$

Minimizing R means maximizing the second term in the right-hand side of equation (9). This term is computed for all possible values of α over its specified range, and the value of α which maximizes this term is chosen. The energy in the denominator of equation (9), identified as Ω , can be easily updated from 5 delay $(\alpha - 1)$ to α instead of recomputing it afresh using:

$$\Omega_\alpha = \Omega_{\alpha-1} + \tilde{x}^2(-\alpha) - \tilde{x}^2(-\alpha + N) \quad (10)$$

If a one-tap LT predictor is used, then equation (8) is used to compute the prediction coefficient b_j . For a j -tap predictor, the LT prediction delay α is first determined by maximizing the second term of Equation (9) and then a set of 10 $j \times j$ equations is solved to compute the j prediction coefficients.

The LT prediction parameters A are the delay α and prediction coefficient b_j . The delay is quantized with 9 to 11 bits depending on the range used. Most commonly 10 bits are utilized, with 1024 possible values in the range 1 to 1024. 15 To reduce the number of bits, the LT prediction delays can be delta coded in even frames with 5 bits. Experiments show that it is sufficient to quantize the gain with 3 to 6 bits. Due to the nonuniform distribution of the gain, nonuniform quantization has to be used.

20 In the method described above, the stability of the LT synthesis filter $1/P(z)$ is not always guaranteed. For a one-tap predictor, the stability condition is $|b| \leq 1$. Therefore, the stabilization can be easily carried out by setting $|b|=1$ whenever $|b| > 1$. For a 3-tap predictor, another stabilization procedure can be used such as is described in R.P. Ramachandran and P. Kabal, "Stability and performance 25 analysis of pitch filters in speech coders," IEEE Trans. ASSP, vol. 35, no.7, pp.937-946, July 1987. However, the instability of the LT synthesis filter is not that harmful to the quality of the reconstructed signal. The unstable filter will persist for a few frames (increasing the energy), but eventually periods of stability are encountered so that the output does not continue to increase with time.

After the LT predictor coefficients are determined, the predicted signal for the $(m+1)$ th frame can be determined:

$$\hat{x}(i) = \sum_{j=-m}^{n_b} b_j \bar{x}(i - 2N + 1 - j - \alpha), \quad (11)$$

$i = mN + 1, mN + 2, \dots, (m + 1)N$

The predicted time domain signal \hat{x} is then applied to a filter bank 13 which

- 5 applies a MDCT to the signal to generate predicted spectral coefficients $\hat{X}_{m+1}(k)$ for the $(m+1)$ th frame. The predicted spectral coefficients $\hat{X}(k)$ are then subtracted from the spectral coefficients $X(k)$ at a subtractor 14.

- 10 In order to guarantee that prediction is only used if it results in a coding gain, an appropriate predictor control is required and a small amount of predictor control information has to be transmitted to the decoder. This function is carried out in the subtractor 14. The predictor control scheme is the same as for the backward adaptive predictor control scheme which has been used in MPEG-2 Advanced Audio Coding (AAC). The predictor control information for each frame, which is transmitted as side information, is determined in two steps. Firstly, for each scalefactor band it is determined whether or not prediction leads to a coding gain and if yes, the **predictor_used** bit for that scalefactor band is set to one. After this has been done for all scalefactor bands, it is determined whether the overall coding gain by prediction in this frame compensates at least the additional bit need for the predictor side information. If yes, the **predictor_data_present** bit is set to 1 and the complete side information including that needed for predictor reset is transmitted and the prediction error value is fed to the quantizer. Otherwise, the **predictor_data_present** bit is set to 0 and the **prediction_used** bits are all reset to zero and are not transmitted. In this case, the spectral component value is fed to the quantizer 3. As described above, the predictor control first operates on all predictors of one scalefactor band and is then followed by a second step over all scalefactor bands.
- 15
- 20
- 25

It will be apparent that the aim of LT prediction is to achieve the largest overall prediction gain. Let G_i denote the prediction gain in the i th frequency sub-band. The overall prediction gain in a given frame can be calculated as follows:

$$G = \sum_{i=1 \& (G_i>0)}^{N_s} G_i \quad (12)$$

- 5 If the gain compensates the additional bit need for the predictor side information, i.e., $G > T$ (dB), the complete side information is transmitted and the predictors which produces positive gains are switched on. Otherwise, the predictors are not used.
- 10 The LP parameters obtained by the method set out above are not directly related to maximising the gain. However, by calculating the gain for each block and for each delay within the selected range (1 to 1024 in this example), and by selecting that delay which produces the largest overall prediction gain, the prediction process is optimised. The selected delay α and the corresponding coefficients b
- 15 are transmitted as side information with the quantised error sub-band signals. Whilst the computational complexity is increased at the encoder, no increase in complexity results at the decoder.

- 20 Figure 4 shows in more detail the decoder of Figure 2. The coded audio signal is received from the transmission channel 6 by the bitstream demultiplexer 7 as described above. The bitstream demultiplexer 7 separates the prediction coefficients A and the quantised error signals $\tilde{E}(k)$ and provides these to the prediction tool 8. This tool comprises a combiner 24 which combines the quantised error signals $\tilde{E}(k)$ and a predicted audio signal in the frequency domain
- 25 $\hat{X}(k)$ to generate a reconstructed audio signal $\tilde{X}(k)$ also in the frequency domain. The filter bank 9 converts the reconstructed signal $\tilde{X}(k)$ from the frequency domain to the time domain to generate a reconstructed time domain audio signal \tilde{x} . This signal is in turn fed-back to a long term prediction tool which also receives the prediction coefficients A . The long term prediction tool 26

generates a predicted current time frame from previous reconstructed time frames using the prediction coefficients for the current frame. A filter bank 25 transforms the predicted signal \hat{x} .

- 5 It will be appreciated the predictor control information transmitted from the encoder may be used at the decoder to control the decoding operation. In particular, the **predictor_used** bits may be used in the combiner 24 to determine whether or not prediction has been employed in any given frequency band.
- 10 There is shown in Figure 5 an alternative implementation of the audio signal encoder of Figure 1 in which an audio signal x to be coded is compared with the predicted signal \hat{x} in the time domain by a comparator 15 to generate an error signal e also in the time domain. A filter bank tool 16 then transforms the error signal from the time domain to the frequency domain to generate a set of frequency sub-band error signals $E(k)$. These signals are then quantised by a quantiser 17 to generate a set of quantised error signals $\tilde{E}(k)$.
- 15

A second filter bank 18 is then used to convert the quantised error signals $\tilde{E}(k)$ back into the time domain resulting in a signal \tilde{e} . This time domain quantised error signal \tilde{e} is then combined at a signal processing unit 19 with the predicted time domain audio signal \hat{x} to generate a quantised audio signal \tilde{x} . A prediction tool 20 performs the same function as the tool 12 of the encoder of Figure 3, generating the predicted audio signal \hat{x} and the prediction coefficients A . The prediction coefficients and the quantised error signals are combined at a bit stream multiplexer 21 for transmission over the transmission channel 22. As described above, the error signals are quantised in accordance with a psycho-acoustical model by a controller 23.

The audio coding algorithms described above allow the compression of audio signals at low bit rates. The technique is based on long term (LT) prediction. Compared to the known backward adaptive prediction techniques, the techniques

described here deliver higher prediction gains for single instrument music signals and speech signals whilst requiring only low computational complexity.

Claims

1. A method of coding an audio signal, the method comprising the steps of:
 - receiving an audio signal x to be coded;
 - generating a quantised audio signal \tilde{x} from the received audio signal x ;
 - 5 generating a set of long-term prediction coefficients A which can be used to predict a current time frame of the received audio signal directly from at least one previous time frame of the quantised audio signal \tilde{x} ;
 - using the prediction coefficients A to generate a predicted audio signal \hat{x} ;
 - comparing the received audio signal x with the predicted audio signal \hat{x} ;
 - 10 and generating an error signal $E(k)$ for each of a plurality of frequency sub-bands;
 - quantising the error signals $E(k)$ to generate a set of quantised error signals $\tilde{E}(k)$; and
 - combining the quantised error signals $\tilde{E}(k)$ and the prediction coefficients A to generate a coded audio signal.
- 15 2. A method according to claim 1 and comprising transforming the received audio signal x in frames x_n from the time domain to the frequency domain to provide a set of frequency sub-band signals $X(k)$ and transforming the predicted audio signal \hat{x} from the time domain to the frequency domain to generate a set of predicted frequency sub-band signals $\hat{X}(k)$, wherein the comparison between the received audio signal x and the predicted audio signal \hat{x} is carried out in the frequency domain, comparing respective sub-band signals against each other to generate the frequency sub-band error signals $E(k)$.
- 25 3. A method according to claim 1 and comprising carrying out the comparison between the received audio signal x and the predicted audio signal \hat{x} in the time domain to generate an error signal e also in the time domain and converting the error signal e from the time to the frequency domain to generate said plurality of frequency sub-band error signals $E(k)$.

4. A method of decoding a coded audio signal, the method comprising the steps of:

receiving a coded audio signal comprising a quantised error signal $\tilde{E}(k)$ for each of a plurality of frequency sub-bands of the audio signal and, for each time frame of the audio signal, a set of prediction coefficients A which can be used to predict a current time frame x_m of the received audio signal directly from at least one previous time frame of a reconstructed quantised audio signal \tilde{x} ;

5 generating said reconstructed quantised audio signal \tilde{x} from the quantised error signals $\tilde{E}(k)$;

10 using the prediction coefficients A and the quantised audio signal \tilde{x} to generate a predicted audio signal \hat{x} ;

transforming the predicted audio signal \hat{x} from the time domain to the frequency domain to generate a set of predicted frequency sub-band signals $\hat{X}(k)$ for combining with the quantised error signals $\tilde{E}(k)$ to generate a set of

15 reconstructed frequency sub-band signals $\tilde{X}(k)$; and

performing a frequency to time domain transform on the reconstructed frequency sub-band signals $\tilde{X}(k)$ to generate the reconstructed quantised audio signal \tilde{x} .

20 5. Apparatus for coding an audio signal, the apparatus comprising:
an input for receiving an audio signal x to be coded;
processing means (2,3;15-19) coupled to said input for generating from the received audio signal x a quantised audio signal \tilde{x} ;

prediction means (12;19) coupled to said processing means (3) for

25 generating a set of long-term prediction coefficients A for predicting a current time frame x_m of the received audio signal x directly from at least one previous time frame of the quantised audio signal \tilde{x} ;

generating means (10-14;20,15) for generating a predicted audio signal \hat{x} using the prediction coefficients A and for comparing the received audio signal x

with the predicted audio signal \tilde{x} to generate an error signal $E(k)$ for each of a plurality of frequency sub-bands;

quantisation means (3;17) for quantising the error signals $E(k)$ to generate a set of quantised error signals $\tilde{E}(k)$; and

5 combining means (5;21) for combining the quantised error signals $\tilde{E}(k)$ with the prediction coefficients A to generate a coded audio signal.

6. Apparatus according to claim 5, wherein said generating means comprises first transform means (11) for transforming the received audio signal x from the time to the frequency domain and second transform means (13) for transforming the predicted audio signal \tilde{x} from the time to the frequency domain, and comparison means (14) arranged to compare the resulting frequency domain signals in the frequency domain.

15 7. Apparatus according to claim 6, wherein the generating means is arranged to compare the received audio signal x and the predicted audio signal \tilde{x} in the time domain.

8. Apparatus for decoding a coded audio signal x , where the coded audio signal comprises a quantised error signal $\tilde{E}(k)$ for each of a plurality of frequency sub-bands of the audio signal and a set of prediction coefficients A for each time frame of the audio signal and wherein the prediction coefficients A can be used to predict a current time frame x_m of the received audio signal directly from at least one previous time frame of a reconstructed quantised audio signal \tilde{x} , the 25 apparatus comprising:

an input for receiving the coded audio signal;
generating means (24,25,9) for generating said reconstructed quantised audio signal \tilde{x} from the quantised error signals $\tilde{E}(k)$; and
signal processing means (26) for generating a predicted audio signal \tilde{x} 30 from the prediction coefficients A and said reconstructed audio signal \tilde{x} ,

wherein said generating means comprises first transforming means (25) for transforming the predicted audio signal \hat{x} from the time domain to the frequency domain to generate a set of predicted frequency sub-band signals $\hat{X}(k)$, combining means (24) for combining said set of predicted frequency sub-band signals $\hat{X}(k)$ with the quantised error signals $\tilde{E}(k)$ to generate a set of reconstructed frequency sub-band signals $\tilde{X}(k)$, and second transforming means (9) for performing a frequency to time domain transform on the reconstructed frequency sub-band signals $\tilde{X}(k)$ to generate the reconstructed quantised audio signal \tilde{x} .

10

1/5

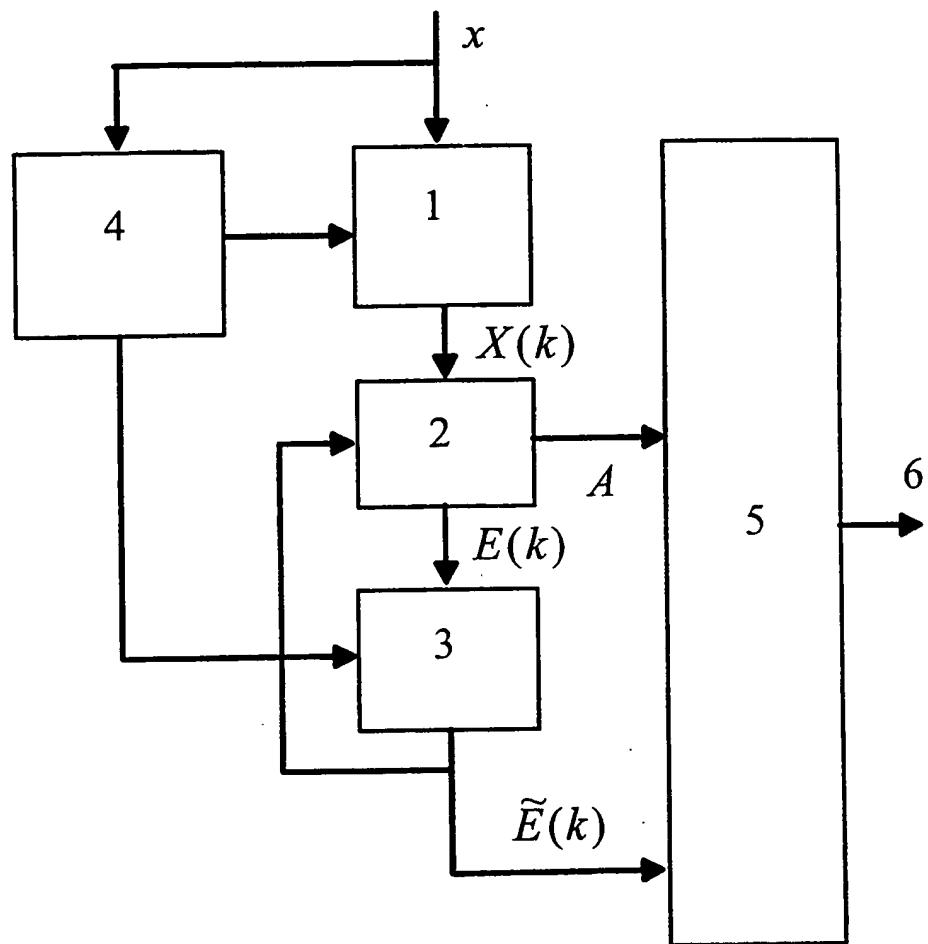


Figure 1

2/5

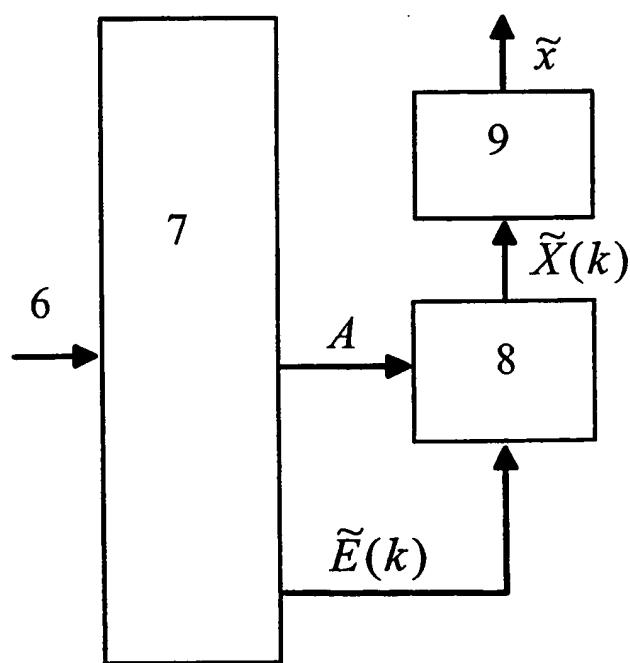


Figure 2

3/5

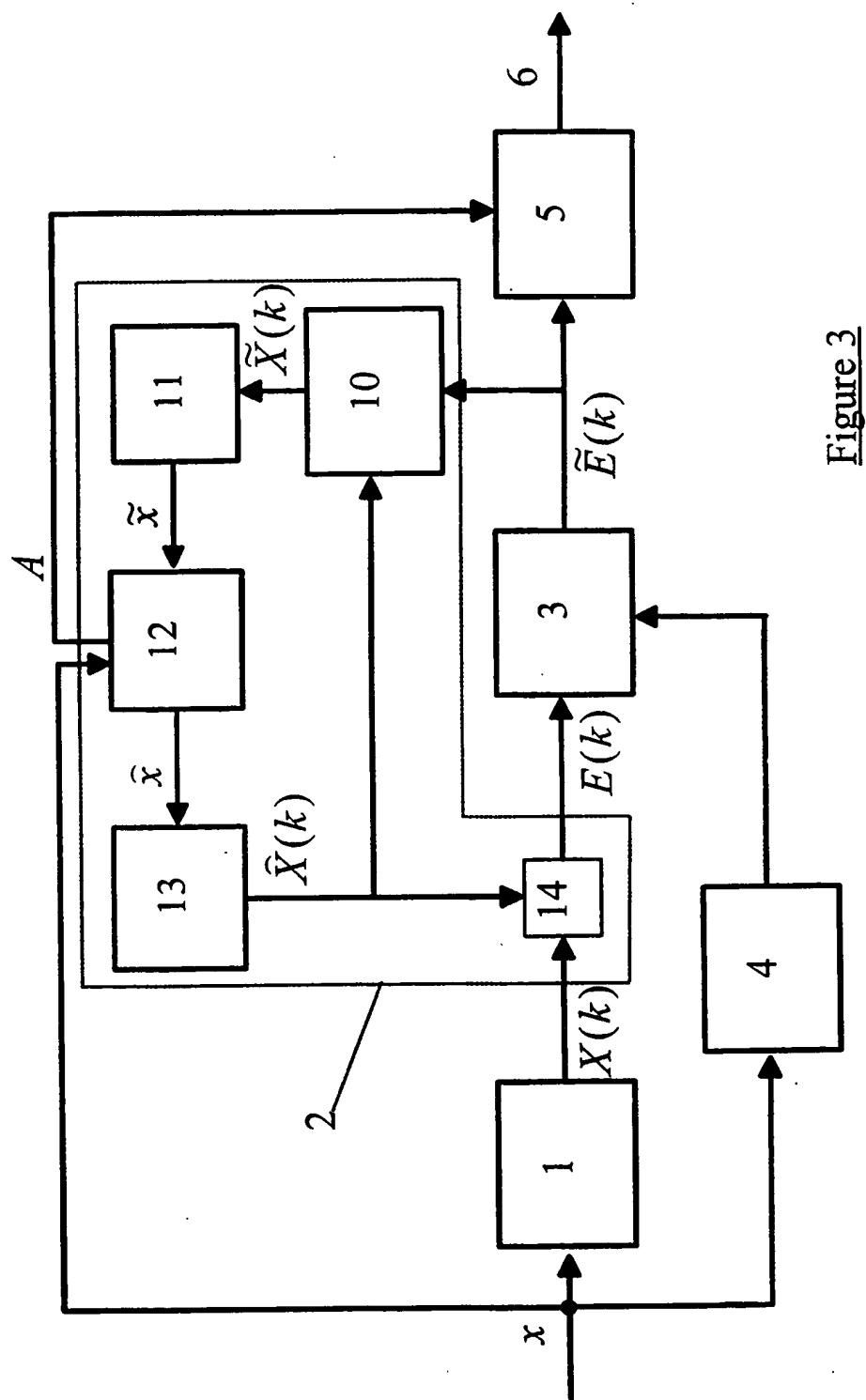


Figure 3

4/5

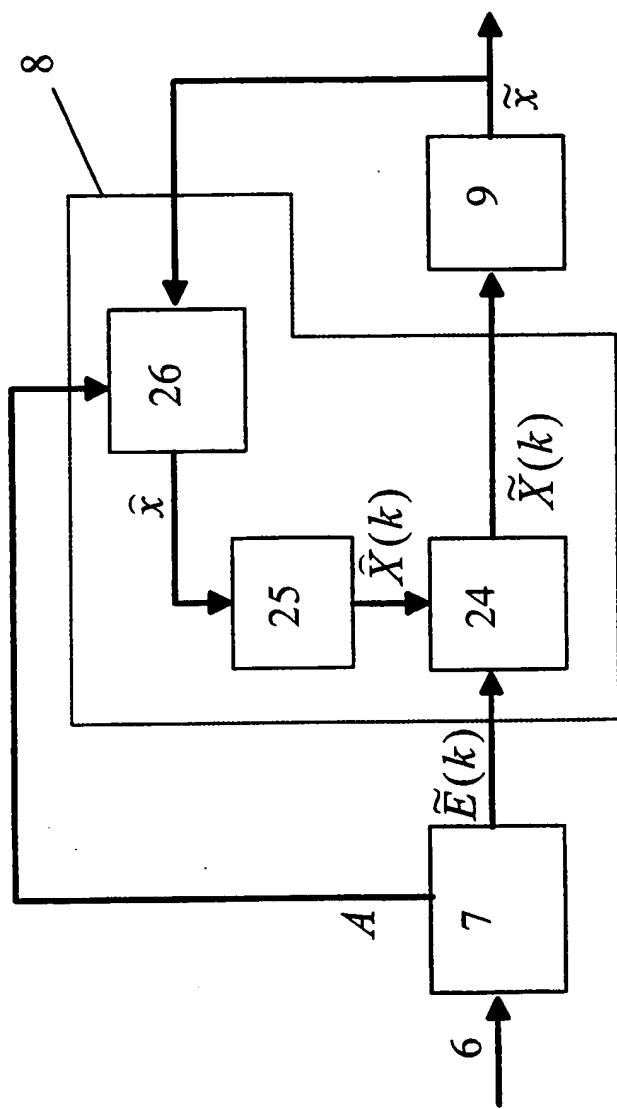


Figure 4

5/5

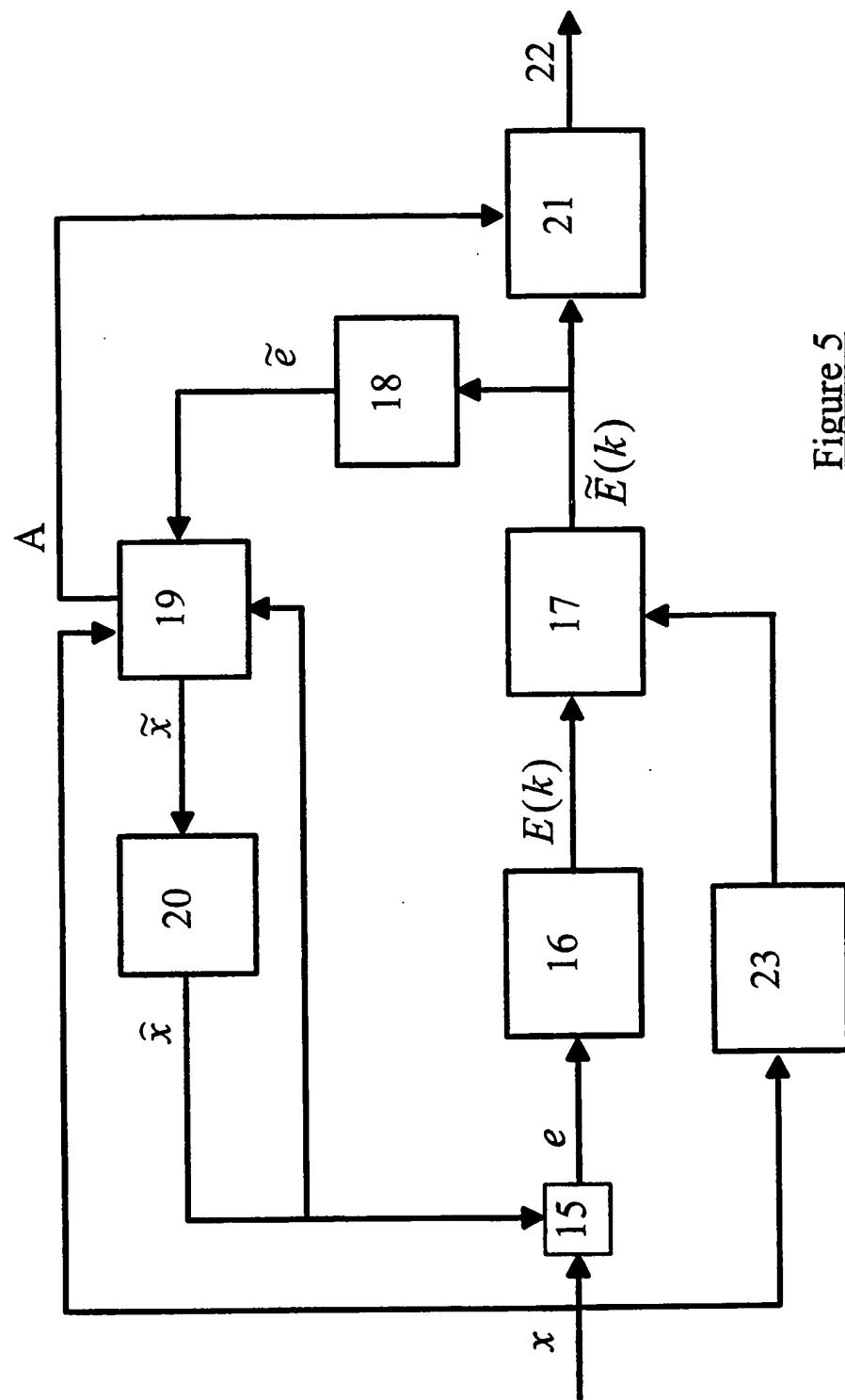


Figure 5

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 98/00146

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04B 1/66, G10L 19/14
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04B, G10L, H03M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0709981 A1 (RAI RADIOTELEVISIONE ITALIANA), 1 May 1996 (01.05.96), page 2, line 16 - page 3, line 8; page 3, line 54 - page 4, line 22, abstract --	1-8
A	WO 9619876 A1 (DOLBY LABORATORIES LICENSING CORPORATION), 27 June 1996 (27.06.96), page 11, line 24 - page 14, line 15 --	2-4,6-8
A	EP 0396121 A1 (SCSELT CENRO STUDI E LABORATORI TELECOMUNICAZIONI S.P.A.), 7 November 1990 (07.11.90), page 1, line 33 - line 51; page 4, line 8 - line 50 --	1-8

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document but published on or after the international filing date	"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 25 August 1998	Date of mailing of the international search report 26 -08- 1998
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. + 46 8 666 02 86	Authorized officer Peder Gjervaldsæter Telephone No. + 46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 98/00146

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4939749 A (FREDERIC ZURCHER), 3 July 1990 (03.07.90), summary of the invention -- -----	1-8

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 98/00146

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP 0709981 A1	01/05/96	NONE		
WO 9619876 A1	27/06/96	AU 4687496 A EP 0799531 A US 5699484 A		10/07/96 08/10/97 16/12/97
EP 0396121 A1	07/11/90	SE 0396121 T3 CA 2016042 A DE 69028434 D,T		03/11/90 20/02/97
US 4939749 A	03/07/90	DE 68907098 T EP 0334714 A,B SE 0334714 T3 FR 2628589 A,B JP 1273430 A		30/09/93 27/09/89 15/09/89 01/11/89

Form PCT/ISA/210 (patent family annex) (July 1992)



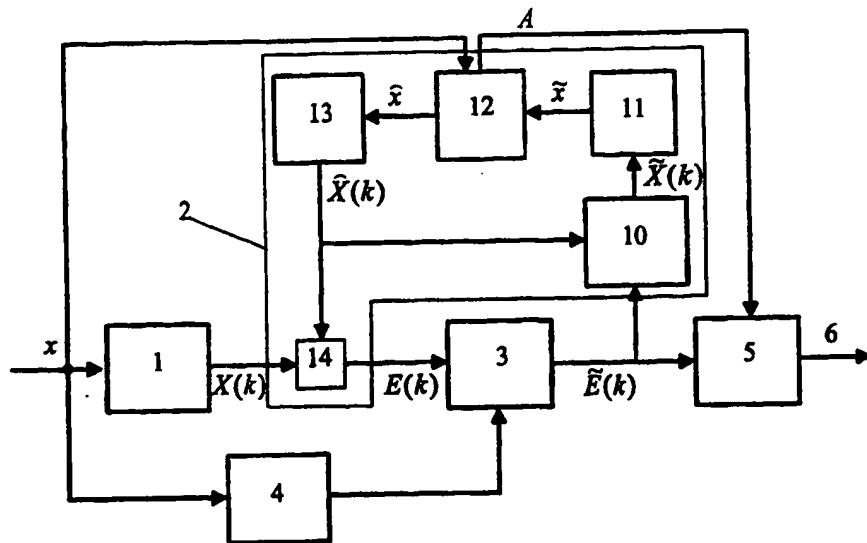
PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : H04B 1/66, G10L 9/14	A1	(11) International Publication Number: WO 98/42083 (43) International Publication Date: 24 September 1998 (24.09.98)
<p>(21) International Application Number: PCT/FI98/00146</p> <p>(22) International Filing Date: 18 February 1998 (18.02.98)</p> <p>(30) Priority Data: 971108 14 March 1997 (14.03.97) FI</p> <p>(71) Applicant (for all designated States except US): NOKIA MOBILE PHONES LTD. [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).</p> <p>(72) Inventor; and</p> <p>(75) Inventor/Applicant (for US only): YIN, Lin [CN/US]; 440 Dixon Landing Road No. A105, Milpitas, CA 95035 (US).</p> <p>(74) Agent: JOHANSSON, Folke; Nokia Mobile Phones Ltd., P.O. Box 100, FIN-00045 Nokia Group (FI).</p>		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
<p>Published With a revised version of the international search report.</p> <p>(88) Date of publication of the revised version of the international search report: 30 December 1998 (30.12.98)</p>		

(54) Title: AUDIO CODING METHOD AND APPARATUS



(57) Abstract

A method of coding an audio signal comprises receiving an audio signal x to be coded and transforming the received signal from the time to the frequency domain. A quantised audio signal \tilde{x} is generated from the transformed audio signal x together with a set of long-term prediction coefficients A which can be used to predict a current time frame of the received audio signal directly from one or more previous time frames of the quantised audio signal \tilde{x} . A predicted audio signal \hat{x} is generated using the prediction coefficients A . The predicted audio signal \hat{x} is then transformed from the time to the frequency domain and the resulting frequency domain signal compared with that of the received audio signal x to generate an error signal $E(k)$ for each of a plurality of frequency sub-bands. The error signals $E(k)$ are then quantised to generate a set of quantised error signals $\tilde{E}(k)$ which are combined with the prediction coefficients A to generate a coded audio signal.

*(Referred to in PCT Gazette No. 52/1998, Section II)

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Maritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LJ	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 98/00146

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04B 1/66, G10L 9/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04B, G10L, H03M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0709981 A1 (RAI RADIOTELEVISIONE ITALIANA), 1 May 1996 (01.05.96), page 2, line 16 - page 3, line 8; page 3, line 54 - page 4, line 22, abstract --	1-8
A	WO 9619876 A1 (DOLBY LABORATORIES LICENSING CORPORATION), 27 June 1996 (27.06.96), page 11, line 24 - page 14, line 15 --	2-4,6-8
A	EP 0396121 A1 (SCSELT CENRO STUDI E LABORATORI TELECOMUNICAZIONI S.P.A.), 7 November 1990 (07.11.90), page 1, line 33 - line 51; page 4, line 8 - line 50 --	1-8

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search <u>28 October 1998</u>	Date of mailing of the international search report <u>29 -10- 1998</u>
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. +46 8 666 02 86	Authorized officer <u>Peder Gjervaldsæter</u> Telephone No. +46 8 782 25 00

Form PCT/ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 98/00146

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 4939749 A (FREDERIC ZURCHER), 3 July 1990 (03.07.90), summary of the invention</p> <p>---</p> <p>-----</p>	1-8

INTERNATIONAL SEARCH REPORT

Information on patent family members

27/07/98

International application No.

PCT/FI 98/00146

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP 0709981 A1		01/05/96		NONE
WO 9619876 A1	27/06/96	AU 4687496 A		10/07/96
		EP 0799531 A		08/10/97
		US 5699484 A		16/12/97
EP 0396121 A1	07/11/90	SE 0396121 T3		
		CA 2016042 A		03/11/90
		DE 69028434 D,T		20/02/97
US 4939749 A	03/07/90	DE 68907098 T		30/09/93
		EP 0334714 A,B		27/09/89
		SE 0334714 T3		
		FR 2628589 A,B		15/09/89
		JP 1273430 A		01/11/89